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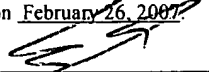
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Jong-Kwon Kim et al.  
SERIAL NO.: 10/686,860 EXAMINER: Dzung D. Tran  
DATE FILED: October 16, 2003 ART UNIT: 2613  
FOR: OPTICAL ADD/DROP MULTIPLEXER

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, P.O. BOX 1450 ALEXANDRIA, VA 22313 on February 26, 2007

Steve S. Cha, Reg. No. 44,069  
(Name of depositor)

  
(Signature and Date)

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313

Sir:

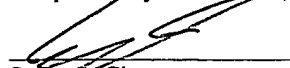
INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97(d) and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

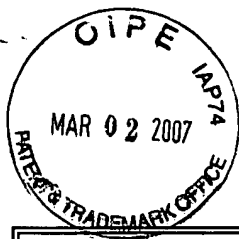
Applicant further note that each item of information contained in the enclosed Form was first cited from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Enclosed please find copies of 3 Foreign Patents and 1 U.S. Publication listed on the attached Form PTO-1449.

Respectfully submitted,

  
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Date: February 26, 2007



Sheet 1 of 1

Form PTO-1449 IRSY. 7.801 U.S. Department of Commerce Patent and Trademark Office	ATTORNEY DOCKET NO.	5000-1-464
	SERIAL NO.	10/686,860
LIST OF DOCUMENTARY INFORMATION CITED BY APPLICANT (Use several sheets if necessary)	APPLICANT	Jong-Kwon Kim et al.
	FILING DATE	October 16, 2003
	GROUP	2613

U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AA	11-174389	7/2/99	Japan	G02B	1/01	X
	AB	2000-013314	1/14/00	Japan	H04B	10/02	X
	AC	2000-206362	7/28/00	Japan	G02B	6/293	X

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AD	Patent Application Publication No.: US 2003/0035172 A1; Publication Date: February 20, 2003; "Tunable, Reconfigurable Optical Add-Drop Multiplexer And A Switching Device;"...

EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.